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12-19-01

PTO/SB/05 (4/98)

Approved for use through 09/30/2000. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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# UTILITY PATENT APPLICATION TRANSMITTAL

Only for new non-provisional applications under 37 C.F.R. § 1.53(B)

Attorney Docket No. L13.12-0185/C2-4012/1C/1C

First Inventor or Application Identifier Sateesh Andra et al.

Title IMPROVED METHOD FOR AUTONEGOTIATING  
MULTIPLE DEVICES WITH A SHARED  
AUTONEGOTIATION CONTROLLER

Express Mail Label No. EL844351577US

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

Address To: Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

1. ☒ \*Fee Transmittal Form e.g., PTO/SB17)  
(Submit an original and a duplicate for fee processing)
2. ☒ Specification [Total Sheets **38**]  
(preferred arrangement set forth below)
  - Descriptive title of the Invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to Microfiche Appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
3. ☒ Drawing(s) (35 U.S.C. § 113) [Total Sheets **9**]
4. Oath or Declaration [Total Sheets **3**]
  - a. ☐ Newly executed (original or copy)
  - b. ☒ Copy from a prior application (37 C.F.R. § 1.63(d))  
(for continuation/divisional with Box 17 completed)
  - i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting  
inventor(s) named in the prior application,  
see 37 C.F.R. §§1.63(d)(2) and 1.33(b).

**\* NOTE FOR ITEMS 1 & 13: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY  
FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT  
IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).**

5. ☐ Microfiche Computer Program (Appendix)
6. ☐ Nucleotide and/or Amino Acid Sequence Submission  
(If applicable, all necessary)
  - a. ☐ Computer Readable Copy
  - b. ☐ Paper Copy (Identical to computer copy)
  - c. ☐ Statement verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

7. ☒ Copy of Assignment Papers (cover sheet & document(s))
8. ☐ 37 C.F.R. § 3.73(b) Statement (when there is an assignee) ☐ Power of Attorney
9. ☐ English Translation Document
10. ☐ Information Disclosure Statement (IDS/PTO - PTO) ☐ Copies of IDS
11. ☒ Preliminary Amendment
12. ☒ Return Receipt Postcard (MPEP 503)
13. ☐ \*Small Entity ☐ Statement filed in prior application  
Statement(s) Status still proper and desired  
(PTO/SB/09-12)
14. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
15. ☐ Request and Cert. Under 35 USC 122 (Non-Pub)
16. ☐ Other: \_\_\_\_\_

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:

☒ Continuation ☐ Divisional ☐ Continuation -in part (CIP) of prior application No: 09/092,389

Prior application information

Examiner A. Romero

Group/Art Unit: 2152

**FOR CONTINUATION or DIVISIONAL APPS only:** The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 17. CORRESPONDENCE

☐ Customer Number or Bar Code Label (Insert Customer No. or Attach bar code label here) or ☒ Correspondence address below

Name	Timothy Croll		
	LSI Logic Corporation		
Address	1551 McCarthy Blvd.		
	M/S D-106		
City	Milpitas	State	CA
Country	USA	Zip Code	95035
	Telephone	(403) 488-4578	Fax (403) 488-7770

Name (Print/type)	Christopher R. Christenson	Registration No. (Attorney/Agent)	42,413
Signature		Date	12/7/01

# FEE TRANSMITTAL

Complete if Known

Application No.	
Filing Date	HEREWITH
First Named Inventor	Sateesh Andra et al.
Title	IMPROVED METHOD FOR AUTONEGOTIATING MULTIPLE DEVICES WITH A SHARED AUTONEGOTIATION CONTROLLER
Group Art Unit	
Examiner Name	

Total Amount of Payment \$ 740

Atty. Docket Number L13.12-0185/C2-4012/1C/1C

## METHOD OF PAYMENT (Check One)

1. ☒ The Director is hereby authorized to charge any additional fee required under 37 C.F.R. § 1.16 and 1.17, including any petition fee, and credit any over payments to Deposit Account No. 12-2252.

2. ☐ Check Enclosed

## FEE CALCULATION (Continued)

### 3. ADDITIONAL FEES

Large Entity Fee	Small Entity Fee	Fee Description	Fee Paid
Code (\$)	Code (\$)		
105	130	205 65 Surcharge - Late filing fee or oath	
127	50	227 25 Surcharge - Late provisional Filing Fee or cover sheet	
139	130	139 130 Non-English specification	
147	2,520	147 2,520 For Filing a Request for Reexamination	
115	110	215 55 Extension for reply within first month	
116	390	216 195 Extension for reply within second month	
117	890	217 445 Extension for reply within third month	
118	1,390	218 695 Extension for reply within fourth month	
128	1,890	280 945 Extension for reply within fifth month	
120	310	220 155 Filing a brief in support of an appeal	
121	270	221 135 Request for oral hearing	
148	110	248 55 Terminal Disclaimer Fee	
140	110	240 55 Petition to Revive - unavoidable	
141	1,240	241 620 Petition to Revive - unintentional	
142	1,270	242 650 Utility/Reissue issue fee (inc. advance copies)	
143	470	243 250 Design issue fee (inc. advance copies)	
122	130	122 130 Petitions to the Commissioner	
123	50	123 50 Petitions related to provisional applications	
126	240	126 240 Submission of Information Disclosure Statement	
581	40	581 40 Recording each patent assignment per property (times number of properties)	

Other Fee (specify) \_\_\_\_\_

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity Fee	Small Entity Fee	Fee Description
Code (\$)	Code (\$)	
101	710	201 355 <input checked="" type="checkbox"/> Utility Filing Fee
106	320	206 160 <input type="checkbox"/> Design Filing Fee
108	710	208 355 <input type="checkbox"/> Reissue Filing Fee
114	150	214 75 <input type="checkbox"/> Prov. Filing Fee
Subtotal (1) \$ 740		

### 2. EXTRA CLAIM FEES

Number Claims	Prior**	Extra	Fee from Below	Fee Paid
Total	4	20	0	18
Indep.	2	3	3	80
Multiple Dependent Claims				

\*\* Insert 3 and 20, or number previously paid if greater; Reissue see below

Large Entity Fee	Small Entity Fee	Description
Code (\$)	Code (\$)	
103	18	203 9 Claims in excess of 20
102	80	202 40 Independent claims in excess of 3
104	270	204 135 Multiple Dependent Claims
109	80	209 40 Reissue Independent Claims over Original Patent
110	18	210 9 Reissue claims in excess of 20 and over original patent

Subtotal (2) \$

Subtotal (3) \$

Signature

(Christopher R. Christenson)

Date 12/7/01

Reg. No. 42,413

Deposit Account No. 12-2252